

South African financial adviser registration form

Should you require any assistance please contact us:

Australia 1300 604 604
International +61 2 8224 8604
clientservices@allangray.com.au
allangray.com.au

1. Financial adviser details

Adviser name

Email address

Business number
(include country and area code)

+

Mobile number
(include country code)

+

Property/building
name (if applicable)

Address

Legal Notices

Equity Trustees Ltd AFSL No. 240975 (Equity Trustees) and referred to as Equity Trustees throughout the document, is the issuer of units in the Allan Gray Australia Equity Fund, Allan Gray Australia Balanced Fund and the Allan Gray Australia Stable Fund (together Funds).

Personal information is collected on this form by Equity Trustees and Allan Gray including their delegates, such as SS&C Solutions Pty Ltd and Orbis, (together us), for the purposes of maintaining the register of unitholders, facilitating distribution payments and other unitholder communications required or permitted by the Corporations Act 2001 or other legislation. Your personal information may be disclosed to external service companies such as print or mail service providers, to Allan Gray, Orbis or to the Funds' custodian, or as otherwise required or permitted by law. If you would like details of your personal information held by us, or you would like to correct information that is inaccurate, incorrect or out of date, please contact Allan Gray. Our privacy policy is available on our website, allangray.com.au In accordance with the Corporations Act 2001, you may be sent material (including marketing material) approved by Equity Trustees, Allan Gray or Orbis in addition to general corporate communications. You may elect not to receive marketing material by contacting Allan Gray using the details on this form. If you are a New Zealand retail investor, we cannot add you to our marketing list. Allan Gray means Allan Gray Australia Pty Ltd AFSL No. 298487 as manager for the Funds. Orbis means Orbis Investment Advisory Pty Ltd AFSL No. 237862 and its associates.

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Suburb			
State		Postcode	
Country			

2. Financial services provider details

Financial adviser company name	
Name of contact person	
FSP license number	
Registered name	
Registration number	

Contact details

Email address			
Business number (include country and area code)	+		
Mobile number (include country code)	+		

Business address (A PO Box/RMB/Locked Bag is not acceptable.)

Property/building name (if applicable)			
Address			
Suburb			
State		Postcode	
Country			

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Postal address (If different to residential address. A PO Box/RMB/Locked Bag is acceptable.)

Property/building name (if applicable)			
Address			
Suburb			
State		Postcode	
Country			

3. Communication

Allan Gray Australia is committed to keeping you up-to-date with our latest news and views through regular communications. Typically, we send one regular email per month, which includes the latest Fund information as well as insights from our investment team. We will also send you invitations to our events. Please let us know below if you do not wish to receive these communications.

<input type="checkbox"/>	I do not wish to receive Allan Gray updates and information.
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4. Bank account details

Australian bank account details

Bank name	
Branch name	
BSB number	
Account number	
Bank account name (e.g. Jane Smith)	

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South African/Foreign bank account details

Bank name	
Bank address	
Account number	
Bank account name (e.g. Jane Smith)	
SWIFT/BIC	
ABA/FED (US)	
IBAN (Europe)	

5. Terms and conditions to which you agree

- You are responsible for maintaining the confidentiality of client and portfolio information and security access codes.
- You will not do anything with the personal information that will cause Allan Gray to breach our obligations under the Privacy Act 1988.
- You acknowledge that any fees payable to you will be pursuant to instructions from your client and based on the terms and conditions in the latest Product Disclosure Statement and Information Booklet (together PDS). As at the date you are signing this form:
 - All financial adviser fees will be paid quarterly to the nominated bank account.
 - Payments are made on or around the 7th business day after the end of each calendar quarter.
 - Payments to a foreign bank account are subject to a minimum of AUD 100. No interest is paid on accrued fees. There is no minimum amount for payments into Australian bank accounts.
 - The on-going fee will not be paid at the end of the calendar quarter if your client redeems their entire investment in the Fund during the quarter.
- You agree that the terms and conditions in relation to the payment of fees may change from time to time and such changes will be reflected in the latest PDS. You agree to be bound by such changes.
- You are responsible for the actions of additional staff that you authorise to access your clients' accounts.
- Your client can change advisers or cancel you as adviser.

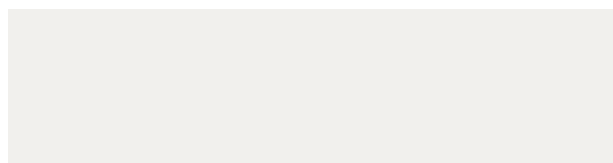
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- You indemnify us and our agents against any claims, liabilities, expenses, losses or costs (including legal costs on a full indemnity basis) suffered or incurred by any of them as a result of you or your agents defaulting under these terms, and this indemnity is a continuing obligation, independent of these terms. This indemnity continues after you cease to be an adviser for your client or if your client fully redeems from the Fund(s).
- It is not necessary for any indemnified person to incur any expenses before Equity Trustees Limited and/or Allan Gray act to enforce these indemnities.
- Where Equity Trustees Limited (and their connected parties, including Allan Gray) administers and facilitates the payment of fees into a foreign bank account and, if such payments are required, you guarantee that:
 - The foreign bank account is a permissible bank account in terms of the South African Exchange Control Rules and Regulations or is permissible in terms of a specific authority from the South African Exchange Control authorities.
 - The fees will be repatriated to South Africa according to the relevant South African Exchange Control Regulations.
 - You undertake to notify us if the foreign bank account becomes impermissible and if you fail to repatriate the funds within the time period prescribed by the South African Exchange Control Rules and Regulations.

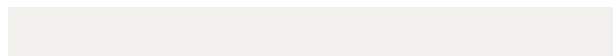
6. Acknowledgement and signature

I confirm that I have read and understood the terms and conditions applying to this registration as set out above.

Signature

A large, empty rectangular box for the signature.

Full name(s)

A horizontal rectangular box for the full name.

Date

A date input field consisting of two boxes for the day, followed by a slash, two boxes for the month, followed by a slash, and four boxes for the year.